

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **8020-1021-1****To the Assistant Commissioner of Patents**

Transmitted herewith for filing is the patent application of:

Inventors: **Yoshihisa MATSUBARA**Divisional of U.S. Patent Application No. **09/290,259** filed on **April 13, 1999**Title: **SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD OF THE SAME**

Enclosed are:

- ☒ **24** Pages of Specification containing 3 claims
- ☒ **9** Sheets of formal Drawings ☒ Abstract of the Disclosure
- ☐ Submission of English translation of prior provisional application under 37 CFR 1.78(a)(5)
- ☐ Newly executed Oath or Declaration/Power of Attorney
- ☒ Copy of Oath or Declaration/Power of Attorney from a prior application (continuation/divisional)
- ☒ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Deletion of inventor(s). A signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63 (d)(2) and 1.33(b))
- ☐ Applicant claims small entity status under 37 C.F.R. § 1.27
- ☒ Application Data Sheet under 37 C.F.R. § 1.76
- ☐ Assignment Papers (cover sheet & document(s))
- ☒ An assignment to **NEC ELECTRONICS CORPORATION** for parent application no. **09/290,259** was recorded on **May 28, 2003** at Reel **013687**, Frame **0387**
- ☒ Information Disclosure Statement (IDS) w/PTO-1449 - ☐ Copy of IDS citations
- ☒ Preliminary Amendment
- ☒ Itemized Return Receipt Postcard
- ☐ Certified Copy of Priority Document(s)
- ☒ Priority of **JAPAN** application No. **10-107514** filed April 17, 1998 is claimed under 35 U.S.C. § 119.
See attached copy of the Letter claiming priority filed in the prior application on April 13, 1999.
- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper
- c. ☐ Statement verifying identity of above copies
- ☐ Other Attachment: _____

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(continued)

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IF CONTINUING APPLICATION, check appropriate box, and supply requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part of Prior Appln. # 09/290,259

Prior Application Information: Examiner: **Ginette PERALTA**

Tech Center: **2814**

CORRESPONDENCE INFORMATION

Customer Number:
000466

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The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY		LARGE ENTITY	
			RATE	FEE	RATE	FEE
BASIC FEE				\$375.00		\$750.00
TOTAL CLAIMS	3 - 20 =	0	\$9.00	\$	\$18.00	\$0.00
INDEPENDENT CLAIMS	1 - 3 =	0	\$42.00	\$	\$84.00	\$0.00
MULTIPLE DEPENDENT CLAIM FEE			\$140.00	\$	\$280.00	\$0.00
TOTAL SMALL ENTITY				\$		
			TOTAL LARGE ENTITY			\$750.00

- ☒ A Check in the amount of **\$750.00** to cover all fees is attached.
- ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.

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TWP/psf

Date: **July 22, 2003**